

### **Short Bio Prof. Dr. Dirk Zetzsche, LL.M. (Toronto)**

Prof. Zetzsche holds the ADA Chair for Financial Law at the University of Luxembourg since March 2016. Prof. Zetzsche studied law at Heinrich Heine University in Düsseldorf, Germany, where he obtained a Doctor of law in 2004, after completing his LL.M. at the University of Toronto, Canada. He completed his habilitation thesis in 2012, also at Heinrich Heine University, before taking over the Propter Homines Chair of Banking and Securities Law at the University of Liechtenstein. Prof. Zetzsche has served as adviser to and participated in expert working groups initiated by public institutions, such as the European Commission, the European Parliament, the European Securities & Markets Authority, the German Ministry of Justice, the German Ministry of Finance as well as the Liechtenstein government. Prof. Zetzsche has worked in the private sector, as a lawyer licensed under German law and board member of regulated entities. His more than 100 academic publications deal with banking, corporate and securities law topics, in particular alternative finance, FinTECH, collective investment schemes, asset management, family offices, shareholder rights, corporate governance, institutional banking law, secured transactions and international financial regulation.

### **Prof. Zetzsche's Publications on Institutional Banking Law (excerpt)**

- 1) The AIFM Directive – European Regulation of Alternative Investment Funds (ed.), International Banking and Financial Law Series, Kluwer Law International, 2. Aufl. 2015, ISBN 9789041140449, 1008 S.
- 2) The AIFM Directive 2011/61/EU – European Regulation of Alternative Investment Funds (ed.), International Banking and Financial Law Series, Kluwer Law International (2012), ISBN 9789041140449, 796 S.
- 3) *Risk Management*, in Zetzsche (ed.), The AIFM Directive (2<sup>nd</sup> ed. 2015), pp. 325 – 392 (with D. Eckner).
- 4) *The AIFMD's Cross-Border Dimension, Third-Country Rules and the Equivalence Concept*, in Zetzsche (ed.), The AIFM Directive (2<sup>nd</sup> ed. 2015), pp. 433 – 480 (with T. Marte).
- 5) *(Prime-)Brokerage under AIFMD*, in Zetzsche (ed.), The AIFM Directive (2<sup>nd</sup> ed. 2015), pp. 575 - 608.
- 6) *Securitizations*, in Zetzsche (ed.), The AIFM Directive (2<sup>nd</sup> ed. 2015), pp. 609 – 628 (with D. Eckner).
- 7) *Competitiveness of Financial Centers in Light of Financial and Tax Law Equivalence Requirements*, in: Ross Buckley, Douglas Arner & Emiliios Avgouleas (eds.), Rethinking Global Financial Law and Its Regulation (Cambridge University Press, 2015), S. 390 - 419.
- 8) „Drittstaaten“ im Europäischen Bank- und Finanzmarktrecht, in Bachmann/Breig (Hrsg.), Finanzmarktregulierung zwischen Innovation und Kontinuität, Mohr Siebeck (2014), S. 48 - 140.
- 9) *Kreditfonds zwischen Anleger- und Systemschutz* (with Th. Marte), Recht der Finanzinstrumente 2015, 4-14.

- 10) *Quack Corporate Governance III? The Capital Requirements Directive IV's Rules on Board Composition* (with Luca Enriques), *Theoretical Inquiries in Law* 16 (2015), 211-244.
- 11) *Beihilfe durch staatliche Kreditgewährung an die Entschädigungseinrichtung der Wertpapierhandelsunternehmen: der Fall Phoenix*, *Wirtschaft und Wettbewerb (WuW)* 2014, 958-970.
- 12) *Staatsgarantie für die Einlagensicherung, Icesave und der Weg zur grenzüberschreitenden Einlagensolidarität* (with S. Grünewald), *Wertpapiermitteilungen (WM)* 2013, 1337-1344.