

EBI Financial Resolution Task Force

List of Published Academic Publications

Please note that only the links to the publications which are publicly available have been mentioned. For the other publications, please do not hesitate to contact us.

Jens-Hinrich Binder and Dalvinder Singh (eds.), *Bank Resolution: The European Regime*, Oxford: Oxford University Press, 2016

Jens-Hinrich Binder, *Resolution: Concepts, Requirements and Tools*, Chapter 2, in Binder and Singh (eds.), *Bank Resolution: The European Regime*, Oxford: Oxford University Press, 2016, pp 25-59

Jens-Hinrich Binder, *The Position of Creditors under the BRRD*, in Bank of Greece's Center for Culture, Research and Documentation (eds.), *Commemorative Volume in memory of Professor Dr. Leonidas Georgakopoulos*, Athen: Bank of Greece, 2016, pp 37-61 - available at <http://ssrn.com/abstract=269808>

Jens-Hinrich Binder, *Cross-Border Coordination of Bank Resolution in the EU: All Problems Resolved?*, in *ECFR* 2016, pp 575-598

Danny Busch and Guido Ferrarini (eds.), *European Banking Union*, Oxford, Oxford University Press, 2015

Danny Busch, *Governance of the Single Resolution Mechanism*, in D. Busch and G. Ferrarini (eds.), *European Banking Union*, Oxford, Oxford University Press, 2015, pp 281-335 – available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2997442

Danny Busch and Mirik van Rijn, *Towards Single Supervision and Resolution of Systemically Important Non-Bank Financial Institutions in the European Union*, *European Business Organization Law Review*, 2018/2, pp 301-363 – available at <https://link.springer.com/article/10.1007/s40804-018-0107-5>

Danny Busch, Mirik van Rijn & Marije Louisse, *How Single is the Single Resolution Mechanism?*, *European Business Law Review*, 2019/4, pp 577-615 – available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3309189##

Christos V. Gortsos, *The Single Resolution Mechanism (SRM) and the Single Resolution Fund (SRF): Legal aspects of the second main pillar of the European Banking Union*, fifth edition, 2019 (previous editions: 2015, 2016, 2017 and 2018) - available at <https://ssrn.com/abstract=2668653>

Christos V. Gortsos, *The role of deposit guarantee schemes (DGSs) in resolution financing*, 2019, [European Banking Institute Working Paper Series 2019 – no. 37](#) - available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3361750

Christos V. Gortsos, The evolution of European (EU) Banking Law under the influence of (public) International Banking Law: A comprehensive overview, Research study, Faculty of Law, Charles University, Prague, 2019, pp 217-244 - available at <https://ssrn.com/abstract=3334493>,

Christos V. Gortsos, Institutional and legal aspects of the European Banking Union: Status quo and the way forward, 2018 - available at <https://ssrn.com/abstract=3093830>

Christos V. Gortsos, A poisonous (?) mix: Bail-out of credit institutions combined with bail-in of liabilities under the BRRD – The use of ‘government financial stabilization tools’ (GFSTs), 2016 - available at <https://ssrn.com/abstract=2876508>

Christos V. Gortsos, The Single Resolution Mechanism (SRM) and the Single Resolution Fund (SRF), in Binder, J.-H. and Ch.V. Gortsos (2016): Banking Union. A Compendium, C.H. Beck, München – Hart, Oxford – Nomos, Baden-Baden, 2016, pp 45-68

Christos Hadjiemmanuil, Limits on State-Funded Bailouts in the EU Bank Resolution Regime, European Economy: Banks, Regulation, and the Real Sector, No 2016.2, 2016 – available at <https://european-economy.eu/2016-2/limits-on-state-funded-bailouts-in-the-eu-bank-resolution-regime> and EBI Working Paper No 2, 2017, <https://www.ssrn.com/abstract=2912165>

Christos Hadjiemmanuil, Monte dei Paschi: A Test for the European Policy Against Bank Bailouts, contribution to the Oxford Business Law blog, 2 May 2017 – available at <https://www.law.ox.ac.uk/business-law-blog/blog/2017/05/monte-dei-paschi-test-european-policy-against-bank-bailouts>

Christos Hadjiemmanuil, Bank Resolution Financing in the Banking Union, in Bank Resolution: The European Perspective, Jens-Hinrich Binder and Dalvinder Singh (eds), Oxford: Oxford University Press, 2016, also LSE Law, Society and Economy Working Paper 6/2015 – available at <http://ssrn.com/abstract=2575372>

Christos Hadjiemmanuil, Bank Stakeholders’ Mandatory Contribution to Resolution Financing: Principle and Ambiguities of Bail-in, in ECB Legal Conference 2015: From Monetary Union to Banking Union, on the Way to Capital Markets Union, New Opportunities for European Integration, Frankfurt am Main: European Central Bank, December 2015, pp 225-248, <http://www.ecb.europa.eu/pub/pdf/other/frommonetaryuniontobankingunion201512.en.pdf>, http://ssrn.com/abstract_id=2733063

Christos Hadjiemmanuil, Special Resolution Regimes for Banking Institutions: Objectives and Limitations, in Legal Challenges in the Global Financial Crisis: Bail-Outs, the Euro and Regulation, Wolf-Georg Ringe and Peter M. Huber (eds), Oxford and Portland, Oregon: Hart Publishing, 2014, pp 209-235, also LSE Law, Society and Economy Working Papers 21/2013, <http://ssrn.com/abstract=2336872>

Seraina Grünwald, The Resolution of Cross-Border Banking Crises in the European Union – A Legal Study from the Perspective of Burden Sharing, International Banking and Finance Law Series, Alphen aan den Rijn: Kluwer Law International, 2014

Seraina Grünwald, Legal challenges of bail-in, in European Central Bank (ed.), ECB Legal Conference 2017 - Shaping a new legal order for Europe: a tale of crises and opportunities, Frankfurt am Main, 2017, pp 287-310 - available at <https://www.ecb.europa.eu/pub/pdf/other/ecblegalconferenceproceedings201712.en.pdf>

Seraina Grünewald and Klaus Lackoff, Die Bankenunion der EU und ihre Auswirkungen auf den Drittstaat Schweiz – 2. Teil: Der Einheitliche Abwicklungsmechanismus, Schweizerische Zeitschrift für Gesellschafts- und Kapitalmarktrecht sowie Umstrukturierungen (GesKR), Volume 2/2016, 2016, pp 139-158

Seraina Grünewald and Rolf H. Weber, Overcoming Special Resolution Regimes for Financial Institutions, Butterworths Journal of International Banking and Financial Law, Volume 25(2), 2010, pp 233-236

Matthias Haentjens, Bob Wessels and Janssen L.G.A. (red.), New Bank Insolvency Law for China and Europe, Volumes 1-3 Hazelhoff Financial Law Series, Den Haag: Eleven Publishing, 2017-2019

Matthias Haentjens, Gabriel Moss QC and Bob Wessels (red.), EU Banking and Insurance Insolvency, Oxford: Oxford University Press, 2017

Matthias Haentjens, Judicial Review of Resolution Action, in World Bank (red.) Understanding Bank Recovery and Resolution in the EU: a Guidebook to the BRRD, Washington D.C.: World Bank Group, 2016, pp 159-163 – available at <http://documents.worldbank.org/curated/en/100781485375368909/pdf/112266-REVISED-PUBLIC-0317-FinSAC-BRRD-Guidebook.pdf>

Matthias Haentjens, SNS Reaal: Resolution via Nationalization and Bail-in, in World Bank (red.) Bank Resolution and “Bail-in” in the EU: Selected Case Studies Pre and Post BRRD, Washington D.C.: World Bank Group, 2016, pp 45-51- available at <http://pubdocs.worldbank.org/en/120651482806846750/FinSAC-BRRD-and-Bail-In-CaseStudies.pdf>

Matthias Haentjens, The Changing Role of the Judiciary in Insolvency: The Case of Bank Resolution, in Parry R., Omar P.J. (red.) Banking and Financial Insolvencies: The European Regulatory Framework, Nottingham/Paris: INSOL Europe, 2016, pp 13-32

Matthias Haentjens and Bob Wessels (red.), Research Handbook on Crisis Management in the Banking Sector Research Handbooks in Financial Law, Cheltenham: Edward Edgar, 2015

Matthias Haentjens and Bob Wessels (red.), Bank Recovery and Resolution, A conference Book Hazelhoff Financial Law Series, Den Haag: Eleven international publishing, 2014

Robby Houben and Werner Vandenbruwaene, The Single Resolution Mechanism, in Judicial Protection in the Single Resolution Mechanism, Engels, Cambridge: Intersentia, 2017, pp 77-119 - available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3098179

Marco Lamandini, The marketing of MREL securities after BRRD - Interactions between prudential and transparency requirements and the challenges which lie ahead, Consob – Quaderni giuridici, S. Alvaro, M. Lamandini, D. Ramos Muñoz, E. Ghibellini, F. Pellegrini, December 2017- available at

<http://www.lamandini.org/images/pdf/books/Books-10---The-marketing-of-MREL-securities-after-BRRD---Quaderni-Giuridici-CONSOB-2017.pdf>

Rosa Lastra, Costanza A. Russo, Marco Bodellini, Stock take of the SRB's activities over the past years: What to improve and focus on? - available at:

[http://www.europarl.europa.eu/RegData/etudes/STUD/2019/634392/IPOL_STU\(2019\)634392_EN.pdf](http://www.europarl.europa.eu/RegData/etudes/STUD/2019/634392/IPOL_STU(2019)634392_EN.pdf)

Matthias Lehmann, Bail-in and Private International Law: How to Make Bank Resolution Measures Effective Across Borders, 66, *International and Comparative Law Quarterly*, 2017, pp 107-142

Matthias Lehmann, La résolution et le droit international privé, *Revue de droit bancaire et financier*, 2014, pp 88-95 (on conflict-of-laws issues in bank restructuring and resolution)

Matthias Lehmann and Stefan Hoffmann, Bankenrestrukturierung mit Hindernissen: Die Übertragung im Ausland belegener systemrelevanter Funktionen, *Wertpapier-Mitteilungen (WM)*, 2013, pp 1389-1401 (on conflict-of-laws issues of bank restructuring)

Matthias Lehmann and Jens Hoffmann, Der Bankkonzern in Krise und Insolvenz: ein Überblick, in *Handbuch zum Konzerninsolvenzrecht*, Lucas Flöther (ed.), 1st ed. 2015, pp 392-428, 2nd ed. 2018, pp 415-459 (on the restructuring and insolvency of bank groups)

Matthias Lehmann, Kollisionsrechtliche Grenzen, in Uwe Jahn and Christian Schmitt (eds.), *Handbuch Bankensanierung und -abwicklung*, 2016, pp 459-478 (on conflict-of-laws issues in bank restructuring and resolution)

Ciprian Necula and Alina-Nicoleta Radu, Quantifying the recapitalization fund premium using option pricing techniques, *Economics Letters*, Volume 114, Issue 3, March 2012, pp 249-251 - available at <https://www.sciencedirect.com/science/article/pii/S0165176511004538>

Ciprian Necula and Alina-Nicoleta Radu, A Worldwide Assessment of Bank Resolution Fund Premiums, *Procedia - Social and Behavioral Sciences*, Volume 62, 24 October 2012, pp 1001-1005 – available at <https://www.sciencedirect.com/science/article/pii/S1877042812036117>

Wolf-Georg Ringe and Jatine Patel, The Dark Side of Bank Resolution: Counterparty Risk through Bail-in, *EBI Working Paper No 31/2019* - available at <https://ssrn.com/abstract=3314103>

Wolf-Georg Ringe, Bank Bail-In between Liquidity and Solvency, 92, *American Bankruptcy Law Journal*, 2018, pp 299-334 - available at <https://ssrn.com/abstract=2782457>

Wolf-Georg Ringe, Regulatory Competition in Global Financial Markets – the Case for a Special Resolution Regime, 1, *Annals of Corporate Governance*, 2016, pp 175-247 - available at <https://ssrn.com/abstract=2659617>

Wolf-Georg Ringe and Jeffrey N. Gordon, Bank Resolution in the European Banking Union: A Transatlantic Perspective on What it Would Take, 115, *Columbia Law Review*, 2015, pp 1297-1369 - available at <https://ssrn.com/abstract=2361347>

Wolf-Georg Ringe and Jeffrey N. Gordon, Bank Resolution in Europe: The Unfinished Agenda of Structural Reform, in Danny Busch and Guido Ferrarini (eds), *European Banking Union (OUP 2015)*, pp 500-523, 2nd edition forthcoming 2019- available at <https://ssrn.com/abstract=2548251>

Wolf-Georg Ringe and Peter M. Huber (eds), *Legal Challenges in the Global Financial Crisis: Bail-outs, the Euro, and Regulation*, Hart Publishing, Oxford, 2014 (paperback 2015)

Gustav Sjöberg, Banking special resolution regimes as a governance tool, Chapter 12, in *Legal Challenges in the Global Financial Crisis - Bail-outs, the Euro and Regulation*, W-G Ringe and PM Huber (eds), Volume 1, 2014, [Studies of the Oxford Institute of European and Comparative Law](https://www.bloomsburyprofessional.com/uk/legal-challenges-in-the-global-financial-crisis-9781849464390/) - available at <https://www.bloomsburyprofessional.com/uk/legal-challenges-in-the-global-financial-crisis-9781849464390/>

Gustav Sjöberg, Handling systematically important banks in distress - some thoughts from a Swedish perspective, *European Business Organisation Law Review* 12, pp 227-250

List of Forthcoming Academic Publications

Jens-Hinrich Binder, Proportionality at the resolution stage: Calibration of resolution measures and the public interest test, forthcoming in *EBOR* 20, 2019

Jens-Hinrich Binder, The Relevance of the Resolution Tools Within the SRM, in Vittorio Santoro and Mario P. Chiti (eds.), *The Palgrave Handbook on European Banking Union Law*, London: Palgrave Macmillan, 2019

Jens-Hinrich Binder, Christos V. Gortsos, Karl Lackhoff, and Christoph Ohler (eds), *Brussels Commentary on the Banking Union*, C.H. Beck, München – Hart Publishing, Oxford – Nomos, Baden-Baden, 2019-2020

Christos V. Gortsos, The Single Resolution Mechanism (SRM), in the collective work, F. Fabbrini and M. Ventrone (eds), *Research Handbook of EU Economic Law*, Chapter 11, Edward Elgar Publisher, 2019

Christos V. Gortsos, The European Deposit Insurance Scheme (EDIS), in the collective work, F. Fabbrini and M. Ventrone (eds), *Research Handbook of EU Economic Law*, Chapter 12, Edward Elgar Publisher, 2019

Christos V. Gortsos, Banking Resolution: The EU framework governing the resolution of credit institutions, in the collective work, F. Amtenbrink and Ch. Hermann (eds), in *The EU Law of Economic and Monetary Union*, Part 8, 8.4., Oxford University Press, 2019

Christos V. Gortsos, Articles 3, 13, 19-20, 67-74, 80-84 and 88-99 of the Single Resolution Mechanism Regulation, in the collective work, J.-H. Binder, C. V Gortsos, K. Lackhoff, and Ch. Ohler (eds), in *Brussels Commentary on European Banking Union*, C.H. Beck, München – Hart, Oxford – Nomos, Baden-Baden, 2019

Marco Lamandini and David Ramos Muñoz, Minimum requirement for own capital and eligible liabilities (MREL), 2019