

## **EBI's Academic Conference**

on

### **“REGULATING AND SUPERVISING CAPITAL MARKETS IN THE EU. TRENDS AND CHALLENGES”**

**9<sup>th</sup> November 2022**

from 09:10 -17:45 hrs (CET)

**(online via Webex Events)**

**[Register here](#)**

**Event password: CapMarkets-2022**

The conference will discuss some of the most significant trends of Capital Markets in the EU and their implications in terms of regulation, supervision and enforcement. It focuses on some of the major issues currently being addressed in the context of the revised Capital Markets Union project, and on related topics that are setting the agenda of the Union for the near future.

The program is articulated in three sessions, each with a selected number of papers and discussants, and a round table. The speakers and moderators are predominantly members of the Academic Board of EBI.

It is with great pleasure that **Verena Ross**, *Chairperson of the European Securities and Markets Authority (ESMA)*, will address the Conference with a keynote speech.

## Programme

**Institutional Welcome Speech: Thomas Gstädtner** (President of the Supervisory Board of EBI)  
(09.10 – 09.20)

**Keynote Speech: Verena Ross** (ESMA Chairperson)  
(09.20 – 09.40)

**SESSION I - The Capital Markets Union set up: institutional and regulatory profiles**  
(09.45 – 11.30)

**Moderator: Christos Gortsos** (President of the Academic Board of EBI & National and Kapodistrian University of Athens)

- Capital Markets Union in the context of globalization  
**Dirk Zetzsche** (EBI & University of Luxembourg)
- The international dimension of capital markets regulation and supervision  
**Matthias Lehmann** (EBI & University of Vienna)
- The access to capital markets by companies in the EU and the regulation of trading venues  
**Guido Ferrarini** (EBI & University of Genoa)

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**SESSION II - Digitalization and Fintech in the context of Capital Markets**  
(11.30 – 13.00)

**Moderator: Juana Ezquerro** (EBI & University Complutense Madrid)

- Regulating DLT and Crypto-assets in the EU: the Digital Finance Package  
**Christos Hadjiemmanuil** (EBI & University of Piraeus)
- The proposed EU Regulation on Artificial Intelligence and its expected impact on Capital Markets  
**Antonella Sciarrone Alibrandi** (EBI & University Cattolica del Sacro Cuore)
- Artificial intelligence, market abuse and short selling: in search of a more combined approach  
**Filippo Annunziata** (EBI & University of Bocconi, Milano)

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**SESSION III – Capital Markets and ESG Finance**  
(14.00 – 15.30)

**Moderator: Blanaid Clarke** (EBI & Trinity College Dublin)

- Issuers' sustainability reporting standards  
**Edgar Löw** (EBI & Frankfurt School of Finance & Management)
- Sustainability in credit ratings and benchmarks  
**David Ramos Muñoz** (EBI & University Carlos III, Madrid)
- ESMA's mandate in sustainable finance  
**Michele Siri** (EBI & University of Genoa)

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## **ROUND-TABLE - EFFECTIVENESS AND ENFORCEMENT**

(15.45 – 17.30)

**Moderator: Luís Morais** (EBI & University of Lisbon)

- Enforcement and proportionality in the light of the recent ECJ's jurisprudence  
**Marco Lamandini** (EBI & University of Bologna)
- Conduct rules and private enforcement: an open debate  
**Veerle Colaert** (EBI & KU Leuven University)
- Enforcement and supervision in the de-centralised world  
**Francesca Medda** (UCL Institute of Finance and Technology)
- The prevention of market abuse: the role of RegTech and SupTech  
**Matteo Gargantini** (EBI ARG & University of Genoa)
- The role of financial literacy  
**Rolf Sethe** (EBI & University of Zurich)

## **CONCLUDING REMARKS**

(17.30-17.45)

**Filippo Annunziata – Christos Gortsos**